



(8) **Old Business: (Review/Discuss/Take Action)**

- a. Development of Board's Newsletter - Mr. Tracy is currently working on this project.
- b. Regulations Merger – The Board's Regulations are being merged into one (1) document.
- c. Recording Meetings – The Board took this under advisement. Lisa Pinsonneault from the Attorney General's Office will meet with the Board to discuss "open meetings" compliance on Tuesday, November 18, 2014 at 1:30 p.m.
- d. Legislative Proposals –
  - Items to be Addressed –
    - (1) To address and streamline the hearing procedures to match the other Boards.
    - (2) To adopt new model language from the Uniform Accountancy Act (UAA) Seventh Edition for the "Firm Mobility."
    - (3) To adopt new model language from the Uniform Accountancy Act (UAA) Seventh Edition for the "Attest Definition."
  - Items for Statutory Review –
    - (1) No procedure for a "Rhode Island Resident" to obtain Reciprocity.
    - (2) Successful completion of the AICPA Comprehensive Course Ethics Exam with a grade of 90% or better.
    - (3) Statute §5-3.1-9(b)(1) – The statute does not address Corporations and Sole Proprietorships.
    - (4) Are webinars considered live? – An inquiry will be sent to NASBA.

(9) **New Business: (Review/Discuss/Take Action)**

- a. No new business at this time.

(10) **Next Meeting Date: (Review Discuss/Take Action)**

- a. Michelle A. J. Luizzi CPA, Practice Unit #S2294 – Recalling Peer Review
- b. The next meeting of the Board is scheduled for Tuesday, December 16, 2014.

(11) **Executive Session**

The Board will also discuss the following matters in **closed session** pursuant to RI General Laws §42-46-5(a)(4) for investigative proceedings regarding allegations of civil or criminal misconduct.

- One (1) complaint alleging after the Internal Revenue Service (IRS) Audit complaint found that funds were deducted out of checking account without complainant's authorization.
- One (1) complaint alleging accountant has failed to provide customers with service. The draft return changed from the final return.
- One (1) Practice Unit Renewal Application received a "failed" peer review.
- One (1) complaint alleging the person did not complete her taxes properly and that she now owes \$4300 to the IRS. This person is advertising boldly on his signboard at his business location that he is a CPA. His CPA license expires June 30, 1998.
- One (1) complaint alleges that the Public Accountant (PA) defrauded the complainant.
- One (1) CPA license reinstated that was "inactive." It came to the Board's attention that the CPA license was previously suspended. The Board requested a written explanation of that suspension and all related documents for Board review.
- One (1) CPA Application for Individual Permit to Practice Public Accounting for the period ending June 30, 2017 was received with disciplinary action noted.
- One (1) inquiry as to a person holding himself and a firm out to be a CPA.
- One (1) matter brought to the Board's attention of an article that appeared in the Portsmouth Times who is not currently licensed.
- One (1) CPA was indicted on sixty-six (66) counts and charged with stealing identities of the terminally ill and elderly. The CPA please guilty to two (2) of the charges.

(12) **Adjournment**

All are welcome to any public meeting of the Rhode Island Board of Accountancy held at the Rhode Island Department of Business Regulation ("DBR"). If any reasonable accommodation is needed to ensure equal access, service or participation, please contact the DBR at (401) 462-9551, RI Relay at 711, or email at [dbr.directorofficeinquiry@dbr.ri.gov](mailto:dbr.directorofficeinquiry@dbr.ri.gov) at least three (3) business days prior to the meeting.

Posted on November 13, 2014 at Department of Business Regulation, State House Library, and electronically on Secretary of State's Office website. Pursuant to the Open Meetings Law, R.I. Gen. Laws Sec. 42-46-6(b). Business not included in this notice may be considered with consent of the majority of the members, and/or may convene into Executive (closed) Session pursuant to R.I. Gen. Laws Sec. 42-46-5.